

# Point-set Distances for Learning Representations of 3D Point Clouds

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#### **Motivation**

 Autoencoder: successfully applied to dimensionality reduction and information retrieval tasks.

• Learning process of an autoencoder:

$$\min_{f,g} L(x, g(f(x)))$$



The general structure of an autoencoder

#### **Motivation**

• Point cloud data: a set of d-dimensional vector of coordinates, color, normals, etc.

• Invariant under permutation







#### **Problem Statement**

When learning **autoencoders** for **point clouds**, how do different types of **loss functions** affect the **learning process** and the **quality** of **latent codes**?



function

Chamfer discrepancy (CD) [1]

$$d_{\rm CD}(P,Q) = \frac{1}{|P|} \sum_{x \in P} \min_{y \in Q} ||x-y||_2^2 + \frac{1}{|Q|} \sum_{y \in Q} \min_{x \in P} ||x-y||_2^2.$$

Correspondence: closest point



Chamfer correspondence



Earth Mover's distance (EMD) [1]

$$d_{\text{EMD}}(P,Q) = \min_{T:P \to Q} \sum_{x \in P} ||x - T(x)||_2.$$

#### Correspondence: optimal assignment



EMD correspondence



Sliced Wasserstein distance (SWD) [1]

For  $\mu$  and  $\nu$  are distributions over  $\mathbb{R}^n$ , the sliced Wasserstein distance between  $\mu$  and  $\nu$  is:

$$SW_p(\mu,\nu) = \left(\int_{\mathbb{S}^{n-1}} W_p^p(\pi_\theta \sharp \mu, \pi_\theta \sharp \nu) d\theta\right)^{\frac{1}{p}}$$

where  $\theta$  denotes a unit vector on  $\mathbb{R}^n$ , and  $\pi_{\theta} \sharp \mu$  denotes the projected measure of  $\mu$  on  $\theta$ .



Project points to a line



#### PointNet autoencoder [1]

**Theorem 1** Suppose  $f : \mathcal{X} \to \mathbb{R}$  is a continuous set function w.r.t Hausdorff distance  $d_H(\cdot, \cdot)$ .  $\forall \epsilon > 0$ ,  $\exists$  a continuous function h and a symmetric function  $g(x_1, \ldots, x_n) = \gamma \circ MAX$ , such that for any  $S \in \mathcal{X}$ ,

$$\left| f(S) - \gamma \left( \max_{x_i \in S} \left\{ h(x_i) \right\} \right) \right| < \epsilon$$

where  $x_1, \ldots, x_n$  is the full list of elements in S ordered arbitrarily,  $\gamma$  is a continuous function, and MAX is a vector max operator that takes n vectors as input and returns a new vector of the element-wise maximum.



PointNet autoencoder

Theorem 1 [1]

## Our findings

- Theoretical relationships between of Chamfer, EMD and SWD
- Experimental results
- Improve approximating SWD

### SWD on point clouds

• A point cloud can be viewed as a discrete uniform distribution.

• The projection step in SWD will only lead to small loss of information of the original point clouds.



Project points to a line

#### Relationships between Chamfer, EMD and SWD

• **Theorem**: Given 2 point clouds P, Q. Assume |P|=|Q| and the support of P and Q is bounded in a convex hull of diameter K, then we find that

$$d_{ ext{CD}}(P,Q) \leq 2Kd_{ ext{EMD}}(P,Q)$$
 .

- Implication: Minimizing EMD leads to a smaller Chamfer but not vice versa.
- SWD is equivalent to EMD [1].

#### **Reconstruction results**

We train our autoencoders on the ShapeNetCore v2 dataset, and test the reconstruction tasks on the ModelNet40 dataset.

Method	CD	SWD	EMD
CD-AE	0.014	6.738	0.314
EMD-AE	0.014	2.295	0.114
SWD-AE	0.007	0.831	0.091

Average dissimilarity between inputs and reconstructions over the ModelNet40.

#### Classification with noisy data

- Solid lines: train the autoencoder with clean point clouds
- Dashed lines: train the autoencoder with noisy point clouds, i.e., perturb ShapeNet in the same way as with ModelNet40.



• SWD is more robust to noise.

Classification accuracy on ModelNet40 with noisy data.

### **Registration results**

Estimate a rigid transformation between two 3D point clouds. We use the autoencoders for local feature extraction, and use RANSAC to estimate the transformation.



	CD-AE	EMD-AE	SWD-AE
home1	59.4	60.4	60.4
home2	47.2	46.5	47.8
hotel1	62.6	62.1	69.8
hotel2	43.6	44.9	48.7
hotel3	46.2	34.6	65.4
kitchen	58.4	57.0	62.6
lab	42.2	46.7	48.9
study	50.4	50.0	55.6
Average	51.3	50.3	57.4

3D registration results (recall) on 3DMatch benchmark

#### Generation results

	JSD	MMD-CD	MMD-EMD	COV-CD	COV-EMD	NNA-CD	NNA-EMD
CD-AE	38.97	0.65	23.44	31.91	5.47	86.63	100.00
EMD-AE	3.73	0.61	10.44	35.75	35.75	86.34	87.96
SWD-AE	3.24	0.79	11.22	28.51	37.96	91.43	91.80



#### Experiments with other backbone

Training Point-capsule autoencoder [1] is much more computationally expensive than training PointNet autoencoder, so we cannot use EMD to train Point-capsule autoencoder.

	CD	SWD	EMD	Accuracy
PCN-SWD	0.006	0.761	0.084	88.78
PCN-CD	0.003	3.035	0.156	88.45

Quantitative measurements of the discrepancy between inputs and reconstructions on ModelNet40. The last column is the classification accuracy on ModelNet40.

#### **Runtime statistics**

SWD is as computationally favorable as Chamfer, while is cheaper than EMD.

Distance	Runtime (ms)
EMD	385
CD	120
SWD	138

Training time per iteration in milliseconds of PointNet autoencoders with different distance functions

#### Improving approximation of SWD

Provide a statistical guarantee on the approximated value, based on **first** and **second empirical moments** 

	CD	SWD	EMD	Acc
SWD	0.007	0.831	0.091	86.8
ASW	0.007	0.854	0.092	86.8

Quantitative measurements of the discrepancy between inputs and reconstructions on ModelNet40. The last column is the classification accuracy on ModelNet40. Algorithm 1: Adaptive sliced Wasserstein. **Input**: Two point sets, positive integers  $N_0, s; \epsilon > 0$ ; maximum number of projections MOuput:  $\overline{sw}_N$ Sample  $N_0$  projections ; Compute  $\overline{sw} := \overline{sw}_{N_0}, \overline{sw^2} := \overline{sw^2}_{N_0}, N := N_0$ ; while  $\overline{sw^2} - (\overline{sw})^2 > \frac{(N-1)\epsilon^2}{4} \& N \le M$  do Sample s projections ; Compute  $\overline{sw}_s, \overline{sw^2}_s$ ; Assign  $\overline{sw} := \frac{N \times \overline{sw} + s \times \overline{sw}_s}{N+s}$ Assign  $\overline{sw^2} := \frac{N \times \overline{sw^2} + s \times \overline{sw^2}_s}{N + s}$ ; Assign N := N + s; end

#### Conclusions

- SWD possesses both the statistical benefits of EMD and the computational benefits of Chamfer divergence.
- Latent codes learned by SWD seem to lead to better performance in many downstream tasks than those learned by Chamfer and EMD.

Future work

 Investigate why latent codes of SWD seems "better" than those of EMD. (May related to how we approximate those distances with deep learning.)

# Thank you for listening!

Contact: trungnguyen100397@gmail.com Code: https://github.com/VinAIResearch/PointSWD